

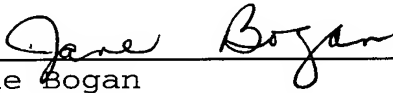
THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zhi-Cheng Xiao  
Serial No. : 10/537,757  
Filing Date : June 6, 2005  
Examiner : Not yet assigned  
Group Art Unit : 1614  
Entitled : "Materials and Methods Relating  
to Treatment of Injury and  
Disease to the Central Nervous  
System"

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Suite 2400  
1601 Market Street  
Philadelphia, PA 19103  
(215) 563-4100 (telephone)  
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Our File No. 0380-P03638US00  
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**Certificate of Mailing Under 37 C.F.R. §1.8(a):**

I hereby certify that this correspondence is being deposited on June 20, 2006 with the United States Postal Service as first-class mail in an envelope properly addressed to the Mail Stop: AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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Jane Bogan  
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Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97**

Dear Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant is submitting herewith a Form PTO/SB/08A and PTO/SB08B listing certain documents which the Examiner is respectfully requested to consider and make of record

in this application. This Information Disclosure Statement is being filed more than three months after the filing date, but before receipt of the first Official Action on the merits. Thus, it is believed by the undersigned attorney that no fee is required under 37 C.F.R. §1.97(b).

Please note that this application is the U.S. national phase of International Patent Application No. PCT/GB2003/005329, filed December 5, 2003. An International Search Report was established by the European Patent Office for this international application and a copy is submitted herewith:

The above-identified international application entered the U.S. national phase after June 30, 2003. Therefore, copies of the U.S. patents and/or published U.S. applications listed on Form PTO-1449 are not included (see O.G. Notice, August 5, 2003).

This submission is not an admission that the references listed on the attached Form PTO-1449 constitute prior art against the claims of this application.

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Form PTO-1449 in accordance with MPEP §609.

In the event that a fee is required, the Commissioner is authorized to charge Deposit Account No. 04-1406 of the undersigned attorneys. A duplicate copy of this sheet is enclosed.

Early and favorable consideration of this application  
is respectfully requested.

Respectfully submitted,  
DANN, DORFMAN, HERRELL AND SKILLMAN  
A Professional Corporation  
Agent for Applicants

By: Patrick J. Hagan  
Patrick J. Hagan  
Registration No. 27,643

PJH:LCF

Enclosures - Form PTO/SB/08A and PTO/SB/08B  
- Copy of International Search Report  
- Copy of non-U.S. documents listed on Form  
PTO/SB/08A and PTO/SB/08B

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	2
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**Complete if Known**

Application Number	10/537,757
Filing Date	June 6, 2005
First Named Inventor	Zhi-Cheng Xiao
Art Unit	1614
Examiner Name	Not yet assigned
Attorney Docket Number	0380-P03638US00

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	B1	WO 00/05364	02-03-2000	Smithkline Beecham PLC		
	B2	WO 01/36631	05-25-2001	Smithkline Beecham PLC		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND**

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Application Number</b>	10/537,757		
		<b>Filing Date</b>	June 6, 2005		
		<b>First Named Inventor</b>	Zhi-Cheng Xiao		
		<b>Art Unit</b>	1614		
		<b>Examiner Name</b>	Not yet assigned		
<b>Sheet</b>	2	<b>of</b>	2	<b>Attorney Docket Number</b>	0380-P03638US00

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	BHAT, M.A., et al., "Axon-glia interactions and the domain organization of myelinated axons requires neurexin IV/Caspr/Paranodin," Neuron, 30: 369-383, (2001).	
	C2	HAUBEN, E., et al., "Vaccination with a Nogo-A-derived peptide after incomplete spinal-cord injury promotes recovery...", PNAS USA, 98:15173-15178, (2001).	
	C3	WOOLF, C.J., "No Nogo: now where to go?," Neuron, 38:153-156, (2003).	
	C4	NIE, D-Y, et al., "Nogo-A at CNS paranodes is a ligand of Caspr: possible regulation of K+ channel localization," EMBO Journal, 22:5666-5678, (2003).	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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